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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding.	92055153
Applicant	Defendant Delphix Corp.
Other Party	Plaintiff Embarcadero Technologies, Inc.
Have the parties held their discovery conference as required under Trademark Rules 2.120(a)(1) and (a)(2)?	Yes

Motion for Suspension in View of Civil Proceeding With Consent

The parties are engaged in a civil action which may have a bearing on this proceeding. Accordingly, Delphix Corp. hereby requests suspension of this proceeding pending a final determination of the civil action. Trademark Rule 2.117.

Delphix Corp. has secured the express consent of all other parties to this proceeding for the suspension and resetting of dates requested herein.

Delphix Corp. has provided an e-mail address herewith for itself and for the opposing party so that any order on this motion may be issued electronically by the Board.

Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by Facsimile or email (by agreement only) on this date.

Respectfully submitted,

/Eric Ball/

Eric Ball

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ndg@techmark.com

02/05/2016

EXHIBIT 1

Case 3:16-cv-00606 Document 1 Filed 02/04/16 Page 1 of 13 1 JEDEDIAH WAKEFIELD (CSB No. 178058) jwakefield@fenwick.com 2 FENWICK & WEST LLP 555 California Street, 12th Floor 3 San Francisco, CA 94104 Telephone: 415.875.2300 4 Facsimile: 415.281.1350 5 ERIC BALL (CSB No. 241327) eball@fenwick.com 6 FENWICK & WEST LLP Silicon Valley Center 7 801 California Street Mountain View, CA 94041 8 650.988.8500 Telephone: Facsimile: 650.938.5200 9 Attorneys for Plaintiff 10 DELPHIX CORP. 11 IN THE UNITED STATES DISTRICT COURT 12 FENWICK & WEST LLP 13 FOR THE NORTHERN DISTRICT OF CALIFORNIA 14 DELPHIX CORP., Case No.: 3:16-cy-00606 15 16 Plaintiff, **COMPLAINT FOR DECLARATORY JUDGMENT** 17 v. EMBARCADERO TECHNOLOGIES, INC., 18 DEMAND FOR JURY TRIAL 19 Defendant. 20 21 22 23 24 25 26 27

CASE No.: 3:16-cv-00606

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Plaintiff Delphix Corp. ("Delphix"), for its complaint against Defendant Embarcadero Technologies, Inc. ("Embarcadero"), alleges as follows:

NATURE OF ACTION

1. This is an action for declaratory judgment. By this action, Delphix seeks to resolve a controversy with Embarcadero and to eliminate any doubt that the DELPHIX name and trademarks, used in connection with Delphix's products and services, do not infringe, dilute or unfairly compete with any trademark rights in the DELPHI mark purportedly owned by Embarcadero. Delphix further seeks a declaratory judgment that its Registration No. 3,768,914 for the DELPHIX mark is valid.

THE PARTIES

- 2. Delphix is a Delaware Corporation with its principal place of business in Menlo Park, California.
- 3. Delphix believes and therefore alleges that Embarcadero is a Delaware Corporation with its principal place of business in San Francisco, California.

JURISDICTION AND VENUE

- 4. Delphix brings this action pursuant to 15 U.S.C. §§ 1051, et seq. (the Lanham Act). The Court has subject-matter jurisdiction over this action under 28 U.S.C. § 1331 (federal question), 28 U.S.C. § 1338 (original jurisdiction of trademark claims), and 28 U.S.C. § 2201 (the Declaratory Judgment Act).
- 5. The Court has personal jurisdiction and venue is proper in this judicial district pursuant to 28 U.S.C. § 1391 because: (i) a substantial portion of the events giving rise to this action occurred in this judicial district, and/or (ii) Embarcadero does business in this judicial district.

INTRADISTRICT ASSIGNMENT

6. Because this is an intellectual property case, it is subject to assignment to any division pursuant to Civil Local Rule 3-2(c).

THE PARTIES' USE AND REGISTRATION OF THEIR RESPECTIVE MARKS

- 7. Delphix offers software used in database management. Delphix's solutions provide its customers on-demand access to data by securely "virtualizing" the customer's data. Its products and services also offer companies the ability to mask sensitive virtualized data, reducing the likelihood of a costly data breach. Delphix is a leading provider of data virtualization solutions and is recognized as a pioneer in the space.
- 8. Delphix uses a stylized version of its mark, which employs a distinctive font and an angular red "fin" design in place of the letter L, as shown in figure 1 below (the "DELPHIX Logo"). Delphix owns a federal registration for the DELPHIX Logo, Registration Number 3,768,914. A copy of the certificate for Registration Number 3,768,914 is attached as **Exhibit A**.



Figure 1

- 9. Delphix believes and therefore alleges that Embarcadero uses the DELPHI mark in connection with programming tools used to write code for software programs. Delphix believes and therefore alleges that Embarcadero's DELPHI-branded programming tools are unrelated to Delphix's data virtualization tools.
- 10. In contrast to Delphix's "fin" logo, Embarcadero uses a helmet logo with its DELPHI mark, which it frequently uses with a stylized version of its company mark, EMBARCADERO, as shown in figure 2 below.



Figure 2

11. In addition to offering substantially different products and services under notably different marks, the parties sell their products to different customers through different sales channels. The decision makers in the purchasing process for Delphix's products include individuals like corporate chief technology officers. Delphix also sells its products after negotiation and testing to customize Delphix's solutions to its customers' needs. By contrast, Delphix believes and therefore alleges that Embarcadero sells its DELPHI product without any negotiation or customization and that it is purchased by hobbyists and students.

EMBARCADERO'S CLAIMS OF INFRINGEMENT

12. Embarcadero has alleged that Delphix's DELPHIX trademark and trade name infringe, dilute and unfairly compete with Embarcadero's purported rights in the DELPHI mark. Additionally, Embarcadero has opposed Delphix's application to register the DELPHIX mark before the Trademark Trial and Appeal Board. Embarcadero also seeks to cancel Delphix's Registration No. 3,768,914 for the DELPHIX mark, again based on allegations that the DELPHIX mark is likely to be confused with the DELPHI mark and the DELPHIX mark will be falsely associated with Embarcadero and its purportedly famous DELPHI mark, as well as allegations that Registration No. 3,768,914 is invalid.

EMBARCADERO'S LIMITED RIGHTS IN THE DELPHI MARK

- 13. Embarcadero uses the trade name EMBARCADERO, and it has never used DELPHI as a trade name in the United States.
- 14. Embarcadero was not the first company to use the mark DELPHI in the United States.
- 15. Embarcadero was not the first company to use the mark DELPHI in the United States in connection with software or software-related products or services.
- 16. Embarcadero claims to own a single federal registration for the DELPHI trademark, Registration Number 2,873,025.

18. Embarcadero is one of many companies using DELPHI marks in the United States. A search of the U.S. Patent and Trademark Office records for the mark DELPHI shows dozens of other registered DELPHI marks used with a variety of products and services, including marks used with software and information technology. For example, the PTO has issued registrations for the following trademarks:

Mark Category	Registration No.
Delphi	2728584
Software consulting services	
Delphi	1651752
Software education services	
Delphi	4355502
Software for smartphones	
Delphi	4344258
Internet wireless devices	
Delphi	4351654
Connectors for electronic circuits	
Delphi	4401495
Website hosting	
Delphi	4401494
Transmission of data for use in	
telematic applications	
Delphi	3799054
Healthcare Management Services	

Mark Category	Registration No.
Delphi Vim	3816168
Software for records management	
Delphi	2864390
Software for use by hospital facilities	

- 19. Embarcadero has not opposed or sought to cancel any of the registrations for any of the marks identified in paragraph 18.
- 20. Delphix believes and therefore alleges that Embarcadero has not challenged the use of any of the marks identified in paragraph 18.
- 21. Delphix believes and therefore alleges that Embarcadero's DELPHI mark has coexisted with each of the marks identified in paragraph 18 without any confusion.
 - 22. Embarcadero's DELPHI mark is not famous among the general consuming public.
- 23. Embarcadero's DELPHI mark was not famous among the general consuming public in 2004.
- 24. Embarcadero's DELPHI mark was not famous among the general consuming public in 2005.
- 25. Embarcadero's DELPHI mark was not famous among the general consuming public in 2006.
- 26. Embarcadero's DELPHI mark was not famous among the general consuming public in 2007.
- 27. Embarcadero's DELPHI mark was not famous among the general consuming public in 2008.
- 28. Embarcadero's DELPHI mark was not famous among the general consuming public in 2009.
- 29. Embarcadero's DELPHI mark was not famous among the general consuming public in 2010.

	30.	Embarcadero's DELPHI mark was not famous among the general consuming
publi	c in 2011	

- 31. Embarcadero's DELPHI mark was not famous among the general consuming public in 2012.
- 32. Embarcadero's DELPHI mark was not famous among the general consuming public in 2013.
- 33. Embarcadero's DELPHI mark was not famous among the general consuming public in 2014.
- 34. Embarcadero has never used the mark DELPHIX, and it has never used the stylized mark shown in Registration No. 3,768,914.

THE LACK OF CONFUSION BETWEEN DELPHIX'S "DELPHIX" MARK AND EMBARCADERO'S PURPORTED "DELPHI" MARK

- 35. Embarcadero's purported DELPHI mark sounds nothing like Delphix's DELPHIX mark. Unlike the mark DELPHI, which ends in a "fi" or "fee" sound, the DELPHIX mark ends with a "fix" sound. The DELPHI and DELPHIX marks are no more similar than MA and MAX or SO and SOX. The added X in the DELPHIX mark creates a substantially different sight, sound and meaning.
- 36. Despite years of coexistence with Delphix, Embarcadero has no evidence of confusion caused by Delphix's DELPHIX name or mark.
- 37. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2009.
- 38. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2010.
- 39. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2011.
- 40. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2012.

41.	Embarcadero has no evidence of actual consumer confusion caused by Delphix's
DELPHIX nar	me or mark in 2013.

- 42. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2014.
- 43. Embarcadero has no evidence of actual consumer confusion caused by Delphix's DELPHIX name or mark in 2015.

FIRST CLAIM FOR RELIEF

(Declaratory Judgment of Non-Infringement)

- 44. Delphix incorporates by reference the previous allegations of this complaint.
- 45. Embarcadero has claimed that Delphix infringes the DELPHI trademark and has demanded that Delphix cease use of its DELPHIX trade name and trademark. Because of Embarcadero's actions, there is substantial controversy between the parties of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.
- 46. Delphix's use of its DELPHIX trademark and trade name for Delphix's products and services does not overlap with Embarcadero's purported use of the DELPHI mark for Embarcadero's products and services.
- 47. There is no likelihood that any relevant consumers would be confused, mistaken, or deceived into believing that Delphix is affiliated, connected, or otherwise associated with Embarcadero, or that Embarcadero is sponsoring or has otherwise approved of Delphix's products and services as a result of the DELPHIX trademark and trade name.
- 48. The differences between the parties' trademarks and use prevent any likelihood of confusion, including without limitation the dissimilarities as to appearance, sound, meaning, and commercial impression of the parties' respective marks.
- 49. The parties sell their respective goods and services in different commercial markets and in different channels of trade. Delphix believes and therefore alleges that customers are not likely to encounter the parties' respective products and services in proximity to each other, further preventing any likelihood of confusion.

50.	The parties' respective customers exercise a high degree of care, further
preventing any	likelihood of confusion.

- 51. Despite years of coexistence, there has been no actual confusion caused by Delphix's trademark or trade name.
- 52. Delphix therefore requests that the Court declare that Delphix neither infringes any of Embarcadero's purported trademark rights nor violates 15 U.S.C. § 1114 or the common law.

SECOND CLAIM FOR RELIEF

(Declaratory Judgment of Non-Dilution)

- 53. Delphix incorporates by reference the previous allegations of this complaint.
- 54. Embarcadero has claimed that Delphix's use of its DELPHIX name and trademarks dilutes the distinctiveness of the DELPHI trademark. Because of Embarcadero's actions, there is substantial controversy between the parties of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.
- 55. Delphix believes and therefore alleges that the DELPHI mark is not, and has never been famous.
- 56. There is no likelihood that Delphix's name or trademark would cause any dilution of the distinctiveness of Embarcadero's DELPHI mark. The DELPHIX name and trademarks do not blur or disparage Embarcadero's purported DELPHI mark.
- 57. Embarcadero has no evidence of actual dilution caused by Delphix's name or trademark.
- 58. Delphix therefore requests that the Court declare that the Delphix's name and trademarks neither dilute any of Embarcadero's trademarks nor violate 15 U.S.C. § 1125(c).

THIRD CLAIM FOR RELIEF

(Declaratory Judgment of No Unfair Competition/False Designation of Origin)

- 59. Delphix incorporates by reference the previous allegations of this complaint.
- 60. Embarcadero has claimed that Delphix's use of the DELPHIX name and trademarks constitutes unfair competition. Because of Embarcadero's actions, there is substantial

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controversy between the parties of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

- 61. Delphix's use of its DELPHIX name and trademarks for Delphix's products and services does not constitute unfair competition, including a false designation of origin within the meaning of 15 U.S.C. § 1125(a), unfair competition within the meaning of California Business and Professions Code § 17200, or California common law of unfair competition.
- 62. There is no likelihood that any relevant consumers would be confused, mistaken, or deceived into believing that Delphix is affiliated, connected, or otherwise associated with Embarcadero, or that Embarcadero is sponsoring or has otherwise approved of Delphix's products and services as a result of the DELPHIX trademark and trade name.
- 63. Embarcadero has no evidence of any actual confusion resulting from Delphix's use of its name or trademark.
- 64. Delphix therefore requests that the Court declare that Delphix's use of its DELPHIX trademark and trade name does not constitute unfair competition, including a false designation of origin within the meaning of 15 U.S.C. § 1125(a), unfair competition within the meaning of California Business and Professions Code § 17200, or under California common law.

FOURTH CLAIM FOR RELIEF

(Declaratory Judgment that Delphix's Registration No. 3,768,914 Is Valid)

- 65. Delphix incorporates by reference the previous allegations of this complaint.
- 66. Embarcadero has claimed that Delphix's Registration No. 3,768,914 for the DELPHIX Logo should be canceled because the DELPHIX Logo mark is likely to be confused with the DELPHI mark, the DELPHIX Logo will be falsely associated with Embarcadero and its purportedly famous DELPHI mark, and there was fraud in applying to register Registration No. 3,768,914. Because of Embarcadero's actions, there is substantial controversy between the parties of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.
- 67. Delphix uses the DELPHIX Logo shown in Registration Number 3,768,914 in connection with the advertisement and sale of the goods and services specified in the registration.

LEINWICK & WEST LLI	ATTORNEYS AT LAW	SAN FRANCISCO
LEINWICH	ATTOR	SAN

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68. There	is no likelihood that any relevant consumers would be confused, mistaken,
or deceived into belie	eving that Delphix is affiliated, connected, or otherwise associated with
Embarcadero, or that	Embarcadero is sponsoring or has otherwise approved of Delphix's product
and services as a resu	alt of the DELPHIX Logo.

- Embarcadero has no evidence of any actual confusion resulting from Delphix's use 69. of its DELPHIX Logo.
- 70. The DELPHIX Logo shown in Registration Number 3,768,914 and Delphix's application to register Registration Number 3,768,914 meets the requirements of a valid mark.
- 71. On January 21, 2016, the Trademark Trial and Appeal Board granted Delphix's motion for summary judgment on Embarcadero's fraud claim regarding Registration Number 3,768,914.
- 72. Delphix therefore requests that the Court declare that Registration No. 3,768,914 is valid.
- 73. Delphix further requests the Court to declare, under Section 37 of the Lanham Act, 15 U.S.C. § 1119, that Delphix has the right to maintain Registration No. 3,768,914.

PRAYER FOR RELIEF

THEREFORE, Plaintiff Delphix prays for judgment against Embarcadero as follows:

- Declaring under Section 37 of the Lanham Act, 15 U.S.C. § 1119, that Delphix has Α. the right to maintain Trademark Registration No. 3,768,914 and issuing a certified order of this declaration for the Director of United States Patent and Trademark;
- B. Declaring under Section 37 of the Lanham Act, 15 U.S.C. § 1119, that Delphix's Trademark Application No. 77944256 can proceed to registration and issuing a certified order of this declaration for the Director of United States Patent and Trademark;
- C. Declaring that Delphix's use of its DELPHIX name and trademarks does not infringe upon any trademark rights of Embarcadero, including Embarcadero's claimed DELPHI mark;

D.	Declaring that Delphix's use of its DELPHIX name and trademarks does not dilute
any tradema	ark of Embarcadero, including Embarcadero's claimed DELPHI mark;
E.	Declaring that Delphix's use of its DELPHIX name and trademarks does not
constitute un	nfair competition or a false designation of origin with respect to Embarcadero and

- F. Declaring that Delphix's use of its DELPHIX name and trademarks does not violate 15 U.S.C. § 1114, 15 U.S.C. § 1125(a), 15 U.S.C. § 1125(c), California Business and Professions Code § 172000, *et seq.* or the common law;
 - G. Declaring that Delphix's Registration No. 3,768,914 is valid;
 - H. An award by the Court to Delphix of its costs in this case; and
- I. An award by the Court to Delphix of any other relief that the Court considers just and proper.

Dated: February 4, 2016 FENWICK & WEST LLP

Embarcadero's claimed DELPHI mark;

By: <u>/s/Jedediah Wakefield</u> Jedediah Wakefield

CASE No.: 3:16-cv-00606

Attorneys for Plaintiff DELPHIX CORP.

DEMAND FOR JURY TRIAL Delphix hereby demands trial by jury on all issues and claims so triable. Dated: February 4, 2016 FENWICK & WEST LLP By: /s/Jedediah Wakefield Jedediah Wakefield Attorneys for Plaintiff DELPHIX CORP. FENWICK & WEST LLP ATTORNEYS AT LAW SAN FRANCISCO

EXHIBIT A

United States of America United States Patent and Trademark Office



Reg. No. 3,768,914 DELPHIX CORP. (DELAWARE CORPORATION)
Registered Mar. 30, 2010 960 SAN ANTONIO ROAD, 2ND FL.
PALO ALTO, CA 94303

Int. Cl.: 9 FOR: COMPUTER SOFTWARE FOR USE IN DATABASE MANAGEMENT; DATABASE MANAGEMENT SOFTWARE FOR MANAGING DATABASE STORAGE AND PROVISIONING FUNCTIONS, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

TRADEMARK PRINCIPAL REGISTER

PRINCIPAL REGISTER FIRST USE 1-31-2009; IN COMMERCE 12-3-2009.

THE MARK CONSISTS OF THE STYLIZED WORD "DELPHIX" WITHALL LETTERS BEING BLACK EXCEPT FOR A RED FIN, REPRESENTING THE LETTER "L".

THE COLOR(S) BLACK AND RED IS/ARE CLAIMED AS A FEATURE OF THE MARK.

SN 77-649,689, FILED 1-14-2009.

EVELYN BRADLEY, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office